REGULAR ARTICLE

Avoiding gas-phase calculations in theoretical pK_a predictions

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Abstract CBS-QB3, two simplified and less computationally demanding versions of CBS-QB3, DFT-B3LYP, and HF quantum chemistry methods have been used in conjunction with the CPCM continuum solvent model to calculate the free energies of proton exchange reactions in water solution following an isodesmic reaction approach. According to our results, the precision of the predicted pK_a values when compared to experiment is equivalent to that of the thermodynamic cycles that combine gas-phase and solution-phase calculations. However, in the aqueous isodesmic reaction schema, the accuracy of the results is less sensitive to the presence of explicit water molecules and to the global charges of the involved species since the free energies of solvation are not required. In addition, this procedure makes easier the prediction of pK_a values for molecules that undergo large conformational changes in solvation process and makes possible the pK_a prediction of unstable species in gas-phase such as some zwitterionic tautomers. The successive pK_a values of few amino acids corresponding to the ionization of the α -carboxylic acid and α -amine groups, which is one of the problematic cases for thermodynamic cycles, were successfully calculated by employing the aqueous isodesmic reaction yielding mean absolute deviations of 0.22 and 0.19 pK_a units for the first and second ionization processes, respectively.

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Keywords pK_a Calculations \cdot Isodesmic reaction \cdot Thermodynamic cycles · CBS-QB3 · CPCM · Continuum solvent models

1 Introduction

The continuous development of implicit solvent models (also known as continuum solvent models) during the last decades together with their simplicity and low computational costs in front of the more complex explicit solvent simulations, made possible the theoretical prediction of physicochemical properties of a broad variety of chemical species in different solution media $[1-6]$. One of the remaining subjects in continuum solvent calculations is the accurate calculation of acidity constants (K_a) or pK_a in its negative logarithmic form [\[7](#page-11-0)].

Prior to introduce the ways in which pK_a values are obtained from quantum calculations and how solvent effects are included, we should consider that the state-ofthe-art quantum chemistry calculations provide gas-phase energies with precision within 1 kcal mol^{-1} . However, the continuum model approach would involve some sort of additional error. Since pK_a values are proportional to deprotonation free energies, accurate pK_a prediction is therefore a challenge considering that experimental errors are within 0.01–0.1 pK_a units for many species.

The most common approach in pK_a calculations is the use of thermodynamic cycles that combine a gas-phase deprotonation reaction and desolvation/solvation of the involved reactants/products [[7–18](#page-11-0)]. The simplest thermodynamic cycle for determination of absolute pK_a values (also known as ''direct'' method) is depicted in Scheme [1.](#page-1-0) In this cycle, the acid species (AH^q) is desolvated, deprotonated in gas-phase and, in the last step, the conjugate

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$$
\begin{array}{ccc}\nAH_{(\text{gas})}^{q} & \xrightarrow{\Delta G_{\text{gas}}} & A_{(\text{gas})}^{q-1} + H_{(\text{gas})}^{+} \\
\uparrow -\Delta G_{\text{solv}(AH)} & \downarrow \Delta G_{\text{solv}(A)} & \downarrow \Delta G_{\text{solv}(H)} \\
AH_{(\text{soln})}^{q} & \xrightarrow{\Delta G_{\text{soln}}} & A_{(\text{soln})}^{q-1} + H_{(\text{soln})}^{+}\n\end{array}
$$

Scheme 1 Thermodynamic cycle 1 that considers dissociation of acid species in the conjugate base and proton. Total charge of the acid and the conjugate base are represented by q and $q - 1$, respectively

base (A^{q-1}) and the isolated proton (H^{+}) are solvated to complete the cycle.

According to this, the solution deprotonation free energy can be calculated as shown in (1). The factor RT ln 24.46 accounts for the change in gas-phase reference state from 1 atm to 1 M. Eventually, pK_a values can be obtained from (2):

$$
\Delta G_{soln} = \left\{ G_{gas}(A^{q-1}) + G_{gas}(H^+) - G_{gas}(AH^q) + \Delta G_{solv}(A^{q-1}) + \Delta G_{solv}(H^+) - \Delta G_{solv}(AH^q) + RT \ln 24.46 \right\}
$$
\n(1)

$$
pK_a = \frac{\Delta G_{\text{soln}}}{RT \ln 10} \tag{2}
$$

This cycle yielded successful results for aqueous pK_a values of carboxylic acids $[8-10]$, phenols $[11]$ $[11]$, and pyridines [\[18](#page-11-0)] with mean absolute errors below 0.5 pK_a units. On the other hand, much worse results were obtained for carbon acids [[7,](#page-11-0) [13,](#page-11-0) [16](#page-11-0)]. According to this cycle, the experimental solvation energy for the isolated proton $[\Delta G_{solv} (H^+)]$ is required. This value is not easy to measure experimentally and may involve a significant error. Consequently, several values have been used in pK_a calculations [[7\]](#page-11-0).

When aqueous pK_a values are calculated, several alternatives to Scheme 1 are possible in which the proton is substituted in the right-hand side of the reaction for an hydronium cation (and a water molecule is added in the left-hand side to balance the cycle) [[7,](#page-11-0) [8,](#page-11-0) [10](#page-11-0), [15](#page-11-0), [17–19](#page-11-0)]. Or, alternatively, the proton is replaced by a water molecule in the right-hand side and a hydroxide anion is included in the left-hand side [[7](#page-11-0), [15](#page-11-0), [17,](#page-11-0) [19\]](#page-11-0). In these two alternative cycles, gas-phase and solvation free energies for water, hydronium and hydroxide can be either taken from experiment or calculated by quantum methods [\[20–24](#page-11-0)].

As shown in (1) and (2), the accuracy in pK_a calculations depends on the errors present in both gas-phase and solvation free energies. Several papers suggested to use high *ab initio-level calculations* for computing deprotonation free energies in gas-phase with errors near the so-called chemical accuracy $(1 \text{ kcal mol}^{-1})$ in order to obtain accurate acidities $[7-11, 19]$ $[7-11, 19]$. On the other hand, several benchmarking studies of continuum models showed

$$
\begin{array}{ccccccc} A H^{\sigma}_{(gas)} & + & B^{q'-1}_{(gas)} & \xrightarrow{A^{Q_{obs}}} & A^{q-1}_{(gas)} & + & B H^{q'}_{(gas)} \\ \uparrow & \wedge G_{\text{solv}(A\mathcal{H})} & \uparrow & \wedge G_{\text{solv}(B)} & \downarrow & \wedge G_{\text{solv}(A)} & \downarrow & \wedge G_{\text{solv}(B\mathcal{H})} \\ A H^{q}_{(\text{soln})} & + & B^{q'-1}_{(\text{soln})} & \xrightarrow{A^{Q_{\text{soln}}} } & A^{q-1}_{(\text{soln})} & + & B H^{q'}_{(\text{soln})} \\ \end{array}
$$

Scheme 2 Thermodynamic cycle 2 that considers a proton exchange reaction between an acidic species and a reference acid molecule. Global charge of the acids and the conjugate bases are represented by q/q' and $q - 1/q' - 1$, respectively

that while solvation free energies for neutral species can be predicted with errors of 1 kcal mol^{-1} , average errors in the free energies of solvation corresponding to charged species usually reach 5 kcal mol^{-1} for the best continuum models [\[25](#page-11-0), [26](#page-11-0)]. For that reason, the largest contribution to the total error frequently lies in the solvation free energies when pK_a values are calculated by using a thermodynamic cycle approach.

A different cycle that is built upon a proton exchange reaction (relative pK_a determination) has been successfully applied for some species [[14,](#page-11-0) [16](#page-11-0), [18](#page-11-0)] (Scheme 2). This approach is based on an isodesmic reaction in which the acid (AH^q) donates a proton to the conjugate base ($B^{q'}$ - ¹) of a reference acid molecule $(BH^{q'})$ in which p K_a is experimentally known.

According to this cycle, pK_a values can be calculated from (3) and (4). Note that in this case, the factor RTln24.46 is not present since the number of moles is the same in both sides of the reaction.

$$
\Delta G_{so\ln} = \{ G_{gas}(A^{q-1}) + G_{gas}(BH^{q'}) - G_{gas}(AH^{q}) - G_{gas}(B^{q'-1}) + \Delta G_{solv}(A^{q-1}) + \Delta G_{solv}(BH^{q'}) - \Delta G_{solv}(AH^{q}) - \Delta G_{solv}(B^{q'-1}) \}
$$
\n(3)

$$
pK_a(AH^q) = \frac{\Delta G_{\text{soln}}}{RT \ln 10} + pK_a(BH^{q'}) \tag{4}
$$

As can be inferred from (3) , the advantage of this thermodynamic cycle lies in the expected cancellation of errors in the calculated ΔG_{soln} due to the subtraction of the gas-phase and solvation free energies of the reactants and products. Such cancellation of errors is likely to be maximized between the gas-phase free energies for a given quantum chemistry method if the molecular and electronic structure of $(AH^q)/(BH^{q'})$ and $(A^{q-1})/(B^{q'-1})$ are similar. On the other hand, since the largest errors in the free energies of solvation lie in those of ionic species and principally depend on their global charge, the total error coming from the subtractions between $\Delta G_{solv}(AH^q)/\Delta G_{solv}(BH^q)$ and $\Delta G_{solv}(A^{q-1})/\Delta G_{solv}(B^{q-1})$ is expected to be minimum when q and q' are equal.

One may think that more accurate pK_a predictions could be obtained by considering some procedure in which the free energies in solution are calculated directly instead of using gas-phase and solvation free energies. In fact, few

authors predicted some pK_a values from free energies in solution calculated as

$$
G_{soln} = (E_{soln} + G_{nes}) + \Delta G_{corr_soln}
$$
\n⁽⁵⁾

where E_{soln} is the potential energy of the solute structure optimized in the continuum model, G_{nes} includes the cavitation, dispersion, and repulsion solvent effects, and $\Delta G_{\rm corr soln}$ is a correction accounting for the nuclear motions at room temperature calculated within the harmonic rigid rotor approximation also in the presence of the dielectric continuum [\[15](#page-11-0), [27](#page-11-0), [28\]](#page-11-0). However, Coote and coworkers [[29\]](#page-11-0) pointed out that this procedure for obtaining free energies in solution is not correct since: (1) potential energies in solution include (in an unknown extent) a residual contribution of the differences between gas-phase and solution-phase free energies through parametrization of the continuum solvent model and/or the set of radii used to define the solute cavity in the dielectric continuum. And (2) the rotor rigid harmonic approximation is dubiously valid to describe the behavior of the nuclear motions in solution phase, specially the translations and rotations. Instead, free energies in solution should be calculated by (6) in order to be consistent with the design of continuum models.

$$
G_{soln} = (E_{soln} + G_{nes}) + \Delta G_{corr_gas}
$$
\n⁽⁶⁾

Since during the parametrization of either the continuum solvation models or the set of radii Ben-Naim's definition for solvation free energy is usually assumed [\[25](#page-11-0), [30](#page-11-0)], to be consistent with (6) one should use gas-phase optimized geometries. Otherwise, there is no guarantee that solutionphase optimized geometries correspond to minima in the gas-phase potential energy surface and gas-phase thermal corrections ($\Delta G_{\rm corr-gas}$) could be meaningless in that case. Then, pK_a calculations seem to be limited by (6) to those cases where gas-phase geometries are representative of solution-phase structures.

The present study focuses on using an aqueous isodesmic reaction (Scheme 3) as an approach to avoid gas-phase calculations in pK_a predictions. In fact, this procedure can be interpreted as an alternative formulation of the thermodynamic cycle 2 in which gas-phase calculations are not present. Then, according to Scheme 3, pK_a values are computed by ([4\)](#page-1-0) as for thermodynamic cycle 2 but by using

$$
AH_{\text{(soln)}}^q \quad + \quad B_{\text{(soln)}}^{q-1} \quad \xrightarrow{\quad \Delta G_{\text{soln}}} \quad A_{\text{(soln)}}^{q-1} \quad + \quad BH_{\text{(soln)}}^{q'}
$$

Scheme 3 Isodesmic reaction that considers a proton exchange reaction between an acidic species and a reference acid molecule. Global charge of the acids and the conjugate bases are represented by q/q' and $q - 1/q' - 1$, respectively

(7) instead of [\(3](#page-1-0)) to obtain the free energies of deprotonation in solution (ΔG_{soln})

$$
\Delta G_{soln} = G_{soln}(A^{q-1}) + G_{soln}(BH^{q'})
$$

$$
- G_{soln}(AH^q) - G_{soln}(B^{q'-1})
$$

$$
\tag{7}
$$

A previous study on acidity of pyridines revealed that very low errors (ca. 0.3–0.7 log units) for pK_a values can be obtained with different thermodynamic cycles [\[18](#page-11-0)]. On the contrary, pK_a values of carbon acids have been difficult to predict accurately with thermodynamic cycles and the lowest obtained errors range $1-4$ p K_a units depending on the global charges of the acid and its conjugate base [\[16](#page-11-0)].

We will use the aqueous isodesmic reaction approach depicted in Scheme 3 to calculate the acidity of a set of substituted pyridines (Chart [1](#page-3-0)) and a set of carbon acids (Chart [2\)](#page-3-0) so that we can compare the performance of this procedure in relation to thermodynamic cycles. In addition, the two conceptually different ways to obtain free energies in solution represented by (5) and (6) are considered for the pK_a calculations.

2 Computational details

All the calculations were performed with the Gauss-ian03E.01 package [\[31](#page-11-0)]. Different sets of pK_a values were calculated by using $HF/6-31+G(d)$, DFT-B3LYP/ 6–311++G(d,p), CBS-QB3 $[32-34]$, CBS-4B3 $[37]$ $[37]$, and CBS-4B3* quantum chemistry methods. The small-sized $6-31+G(d)$ basis set was used for HF calculations. However, the larger $6-311++G(d,p)$ basis set was required for DFT-B3LYP calculations in order to avoid low imaginary frequencies for several species.

Solvent effects were taken into account by using the CPCM continuum model [\[35](#page-12-0), [36](#page-12-0)] and the UAHF radii [[30\]](#page-11-0) for HF and post-HF methods or the UAKS radii [\[31](#page-11-0)] for B3LYP calculations. The CPCM continuum model has been used since previous works reported considerably accurate pK_a predictions for several acid functionalities including substituted pyridines and carbon acids [[7–11,](#page-11-0) [14,](#page-11-0) [16](#page-11-0), [18\]](#page-11-0). Furthermore, using the same continuum model seemed necessary for rigorous comparisons between the pK_a predictions with the isodesmic reaction reported here and previous calculations with thermodynamic cycles [\[16](#page-11-0), [18](#page-11-0)].

The original CBS-QB3 model involves geometry optimization and frequency calculations at B3LYP/ 6–311G(2d,d,p) level. Afterward, the energy is calculated on the optimized geometry in three steps. Second-order energies are computed at MP2/6-311+G(3d2f,2df,2p) and later corrected to the complete basis set limit (CBS extrapolation). The second and third steps correct second-order pyridines

energies by $MP4SDQ/6-31+G(d(f),p)$ and $CCSD(T)/$ $6-31+G^{\dagger}$ calculations. Zero-point energies and further thermal corrections are taken from B3LYP frequency calculations. At last, empirical and spin contamination corrections are added to the energy. CBS-4B3 method is a simplification of the original CBS-QB3 in which CCSD(T), spin contamination, and empirical corrections to the energy are not included [[37\]](#page-12-0). The resulting method is considerably less computational demanding due to the absence of coupled cluster calculations. This method has been named CBS-4B3

Chart 2 Set of carbon acids

since it retains the original CBS extrapolation of all CBS methods, "4" because the highest level of calculation is MP4SDQ and ''B3'' due to the B3LYP geometries and frequencies. Eventually, CBS-QB3 and CBS-4B3 energies are computed by using (8) and (9) .

$$
G_{CBSQB3} = E_{SCF} + \Delta E_{MP2} + \Delta E_{CBS} + \Delta E_{MP4SDQ}
$$

+ $\Delta E_{CCSD(T)} + \Delta E_{emp} + \Delta E_{spin} + \Delta G_{corr}$ (8)

$$
G_{GCBS4B3} = E_{SCF} + \Delta E_{MP2} + \Delta E_{CBS} + \Delta E_{MP4SDQ} + \Delta G_{corr}
$$
\n(9)

The summation of the first three terms ($E_{\text{SCF}} + \Delta E_{\text{MP2}} +$ $\Delta E_{\rm CRS}$) corresponds to the second-order energies, the $\Delta G_{\rm corr}$ term accounts for the thermal corrections to the potential energy calculated within the harmonic approximation at B3LYP level scaled by 0.99 with the unscaled zero point energy correction removed according to the implementation in G03 [\[31](#page-11-0)]. The later terms are obtained as

$$
\Delta E_{MP4SDQ} = E_{MP4SDQ/6-31+G(d(f),p)} - E_{MP2/6-31+G(d(f),p)} \tag{10}
$$

$$
\Delta E_{\text{CCSD(T)}} = E_{\text{CCSD(T)/6-31+G}^{\dagger}} - E_{\text{MP4SDQ/6-31+G}^{\dagger}} \tag{11}
$$

$$
\Delta E_{emp} = -0.0057 \sum_{i=1}^{n_{\beta}} \left(\sum_{\mu=1}^{N_{virt+1}} C_{\mu ii} \right)^2 |S|_{ii}^2 \tag{12}
$$

$$
\Delta E_{spin} - 0.00954 \left[\left\langle S^2 \right\rangle - S_z (S_z - 1) \right]. \tag{13}
$$

Since CBS-QB3 and CBS-4B3 models use 6–311G(2d,d,p) basis set for geometry optimizations and the absence of diffuse functions may not describe properly hydrogen bonding interactions, all the geometries were reoptimized with $B3LYP/6-311++G(d,p)$. Afterward, CBS-4B3 energies according to (9) were calculated on the resulting structures (named here as CBS-4B3*). The scale factor for the thermal corrections was modified to 0.9806 according to the combination of $6-311++G(d,p)$ basis set and B3LYP functional [[38\]](#page-12-0).

Free energies in solution for each species as defined in [\(5](#page-2-0)) were obtained by performing both geometry optimizations and frequency calculations (thermal corrections) together with the CPCM model for all HF, B3LYP, and CBS methods. Moreover, all CBS energy calculations were performed in the presence of the CPCM continuum model.

On the other hand, free energies in solution as defined in [\(6](#page-2-0)) require the geometry optimizations and frequency calculations to be performed in the gas-phase. Subsequently, an energy calculation on the fixed gas-phase optimized geometry is performed in the presence of the CPCM dielectric continuum. In this case, only HF and B3LYP but not CBS methods were used to calculate the free energies in solution according to (6) (6) .

All the structures were optimized and characterized as true minima by the absence of imaginary frequencies except for the C9 acid species optimized at CPCM/HF/ 6-31+G(d) level, which presented a low (17.5 cm^{-1}) imaginary frequency corresponding to the torsion of a methyl group. The free energies in solution according to [\(5](#page-2-0)) were also calculated for all pyridines and carbon acids adding a single water molecule to study the effects of explicit short-range solvent interactions in the accuracy of pK_a predictions.

3 Results and discussion

Before showing the results, some comments should be done concerning the expected precision in the results provided by the isodesmic approach. According to Ho and Coote [\[7](#page-11-0)], computational absolute and relative pK_a predictions should present errors within $3.5/2$ pK_a units, respectively, when thermodynamic cycles are used.

According to [\(4](#page-1-0)) (see Introduction section), the errors in the calculated pK_a values lie in the calculated free energy of the proton exchange reaction in solution and in the experimental uncertainty of the acid reference pK_a value. The former free energy is obtained by introducing in [\(7](#page-2-0)) the free energies in solution calculated with the quantum chemistry method. In this work, we used different CBS models in which errors in gas-phase deprotonation energies were ranged within 1.2 kcal mol⁻¹ [\[39](#page-12-0), [40](#page-12-0)]. Bearing this in mind and considering addition of errors, the expected accuracy should be 2.4 kcal mol⁻¹ (approximately 1.8 p K_a) units at 298.15 K). However, the lower ab initio level HF and DFT-B3LYP calculations may be expected to yield larger errors due to a worse description of electron correlation and exchange interactions.

In addition to the inherent errors of the quantum chemistry methods, the errors due to the introduction of solvent effects as a dielectric continuum should also be considered. However, it is somewhat difficult to define the magnitude of these errors within the aqueous isodesmic reaction approach because continuum solvent models (or the set of solvation radii) are constructed to yield free energies of solvation that are neither used in (5) (5) nor in (6) (6) . For example, UAHF and UAKS spheres were parametrized to yield accurate potential energy differences between gas and solution phases computed with small basis sets at HF or PBE0 levels, respectively [\[30](#page-11-0), [31](#page-11-0)]. As long as HF or DFT gas-phase energies are far from "exact", it is not possible to decompose the total error of solvation energies in their gas-phase and solution-phase contributions.

In a first approximation, we can set two error limits for the computed aqueous free energies. First, if errors in solvation energies are only located in the solution energies

(due to the CPCM model), aqueous-phase errors will be added to the inherent errors of the quantum chemistry method. Considering that the average errors in solvation energies for the CPCM/UAHF and CPCM/UAKS solvent models reported by Takano and Houk [\[26](#page-11-0)] were approximated located within $1-5$ kcal mol⁻¹ depending on the global charge of the solute, the error in each deprotonation energy can easily increase in the same extent depending on the charges of the acid and its conjugate base. Moreover, this error will be easily enlarged in case of poly-charged ions. On the other hand, if errors in solvation energies are only due to gas-phase energies (due to the quantum chemistry method), the global errors for each method will remain at its intrinsic level.

Despite it is not possible to predict whether the errors in the free energies in solution [either calculated by (5) (5) (5) or (6) (6)] are larger than the errors in the free energies of solvation, it is reasonable to expect that errors in the solution free energies present a systematic dependence on the structural features of the solutes such as global charges, molecular, and electronic structures (in particular the electrostatic potential) due to the parametrization of the continuum model/cavities in the same extent than the free energies of solvation. Therefore, some cancellation of errors is expected for the results of the aqueous isodesmic reaction (Scheme [3](#page-2-0)) as occurs with the proton exchange thermodynamic cycle (Scheme [2\)](#page-1-0) [[7,](#page-11-0) [9](#page-11-0), [12](#page-11-0), [15](#page-11-0), [16,](#page-11-0) [18\]](#page-11-0).

At last, the experimental uncertainties in the pK_a of the acid reference should be taken into account. These errors usually fall in the range of 0.1–0.01 pK_a units for most of the typical organic and inorganic compounds; therefore, these are the less important contributions to the global error in the calculated pK_a values. Nevertheless, for some very strong or very weak acid species (i.e., those species which pK_a value falls out of the range 0–14), the experimental values could show errors of several pK_a units [[7\]](#page-11-0) and become as significant as the errors in the calculated free energies of deprotonation.

We have shown earlier that the aqueous isodesmic reaction should not necessarily involve additional sources of error respect to thermodynamic cycles. Instead, both approaches seem to be equivalent. Therefore, an obvious question comes out: Which are the advantages of using the aqueous isodesmic reaction instead of thermodynamic cycles? (1) Some species adopt very different gas- and solution-phase geometries that conformational free energy change should be computed [[7,](#page-11-0) [27,](#page-11-0) [28\]](#page-11-0). (2) Some acids can be gas-phase unstable, particularly in the case of polyprotic species where intramolecular proton transfers are possible [\[27](#page-11-0), [28,](#page-11-0) [41\]](#page-12-0). (3) When "rare" acid-base functionalities are not included in the parametrization set of radii, there may be large errors when computing solvation free energies. (4) Previous studies illustrated that thermodynamic cycles

usually fail when calculating pK_a values of polyprotic species, probably due to large errors in the solvation energies of poly-charged molecules (anions/cations). However, the application of the aqueous isodesmic reaction improved drastically the results in some cases [\[27](#page-11-0), [28](#page-11-0)]. (5) Accurate results resulted strongly dependent on the global charge of the acid reference when using thermodynamic cycles [\[16](#page-11-0)]. Conversely, accurate results were obtained for a polyprotic species, in a different study, where the studied and reference acids/bases bear different global charges [[28\]](#page-11-0). (6) For thermodynamic cycles, addition of explicit solvent molecules was critical to obtain accurate pK_a values when strong, short-range solute– solvent interactions are not well described by the continuum model [[18,](#page-11-0) [19,](#page-11-0) [42\]](#page-12-0).

Results for pK_a prediction of substituted pyridines and carbon acids calculated by using ([5\)](#page-2-0) and ([6\)](#page-2-0) are shown in Tables [1](#page-6-0) and [2,](#page-6-0) respectively. It should be noted that only vibrational partition functions were taken into account for the thermal corrections calculated in solution ($\Delta G_{\rm corr_soln}$) since ideal gas partition functions for rotational and translational motions do not describe the real physics in solution as noted by Coote and coworkers [[29\]](#page-11-0). On the other hand, it may seem reasonable to consider that translations and rotations of the solutes do not change dramatically after the deprotonation reaction. Therefore, we assume that all the non-vibrational thermal contributions cancel out in [\(7](#page-2-0)). Moreover, we also assume that any contribution due to the structural reorganization of the solvent will be similar between the studied and reference species so they will also cancel out in (7) (7) (7) .

Pyridine acid–base behavior is well known from both experiment [\[43–46](#page-12-0)] and computational studies [\[18](#page-11-0), [46–48](#page-12-0)]. It should be noted that pyridine functionality has not only been chosen because of the high accuracy in the computational determinations of pK_a (errors below 1 pK_a unit) but also because good results have been reported with different thermodynamic cycles [\[18,](#page-11-0) [48\]](#page-12-0). Considering that the estimated errors vary in several pK_a units for different thermodynamic cycles [[7\]](#page-11-0), equivalent results for different cycles can be only obtained if all gas-phase free energies of deprotonation and free energies of solvation are accurate. This means that low-error cancellation is present in the pK_a calculation, so substituted pyridines will be a proper set of molecules to assess the performance of the aqueous isodesmic reaction approach.

On the other hand, the experimental determination of acidity constants for carbon acids is rather difficult because of their very weak acidity in water. In fact, experimental uncertainties of such pK_a values are significantly larger (i.e. 0.5–1 p K_a unit or larger) than those obtained for common acid species [\[49–51](#page-12-0)]. The reference carbon acid was chosen in the post-processing stage as the species that yields

The absolute *errors* in pK_a units are given in *brackets*

The absolute *errors* in pK_a units are given in *brackets*

the minimum errors respect to the experimental values. Moreover, the experimental uncertainty of this species pK_a is 0.5 log units [[51\]](#page-12-0), which is one of the lowest among the studied carbon acids. At this point, it should be taken into account that the expected accuracy of the aqueous isodesmic reaction is modified due to the uncertainty in the pK_a value of the reference carbon acid. Adding this value to the lowest expected errors (1.8 pK_a units for CBS methods as stated previously), the resulting expected accuracy is 2.3 log units. Note that we have just considered errors of CBS gas-phase energies, but larger errors are expected due to the continuum solvent effects.

As can be seen in Tables [1](#page-6-0) and [2,](#page-6-0) the pK_a s calculated by considering the free energies in solution defined either by [\(5](#page-2-0)) or ([6\)](#page-2-0) do not differ significantly for CPCM/HF/ $6-31+G(d)$ or for CPCM/B3LYP/6-311++ $G(d,p)$ calculations when rigid molecules are considered (i.e., pyridines) since in such cases gas-phase geometries are good models of their solution counterparts. However, slightly worse agreement is found between the pK_a values obtained by using ([5\)](#page-2-0) and ([6\)](#page-2-0) when carbon acids are considered due to conformational differences of gas-phase and solution geometries. Actually, the case of zwitterionic glycine species exemplifies a situation in which free energies in solution cannot be obtained according to the more rigorous [\(6](#page-2-0)) since that tautomeric state is not stable in the gas-phase. Therefore, in that cases correct pK_a values can be predicted by performing geometry optimizations and frequency calculations for the thermal corrections in combination with the dielectric continuum according to ([5\)](#page-2-0) despite of the fact that the calculated free energies in solution are not obtained in the most consistent way respect to the continuum model design.

The pK_a values calculated by using free energies in solution according to (5) (5) show mean absolute deviations (MAD) below or close to 1 and 2 pK_a units for the substituted pyridines and carbon acids, respectively, which is in agreement with the previously expected range of errors for the aqueous isodesmic reaction (1.8 and 2.3 pK_a) units). In the case of pyridines, the standard deviation values (SD) are also considerably low (ranging 0.71–0.96 log units), which indicates that most of the errors fall also within the expected limit of 1.8 pK_a units. On the contrary, the standard deviations for carbon acids vary from 1.7 to 2.1 log units and accordingly, 4 to 6 species for each quantum method present errors in the calculated pK_a , which are higher than 2.3 log units. However, it should be considered that: (1) all the pyridine acids and conjugate bases bear the same global charge that the pyridinium/ pyridine references, whereas the global charges of carbon acids and their conjugate bases differ from those of the reference carbon acid and (2) carbon acids (particularly the anionic species) were not considered in the parametrization of the UAHF cavities [[30](#page-11-0)], so larger errors are expected in the SCRF energies.

The magnitude of the standard deviation therefore gives an indication of how the mean absolute deviations will change when the reference species is changed by another molecule within the same set. Accordingly, MAD values change from 0.9 to 2.6 pK_a units (for CBS methods) depending on the pyridine reference but changing the carbon acid reference causes much larger MAD variations, 2.1–6.8 p K_a units in the worst case scenario (see detailed results in Supporting Information).

It is deduced from the analysis of errors of Tables [1](#page-6-0) and [2](#page-6-0) that mean absolute deviations of the pK_a values calculated by using [\(5](#page-2-0)) and CPCM/HF or CPCM/B3LYP methods show a comparable accuracy respect to the CPCM/CBS results, yielding MAD differences of 0.1–0.2 pK_a units. In fact, CPCM/B3LYP calculations provide slightly better results than CBS models for carbon acids. These results seem to point out that the cancellation of errors in the aqueous isodesmic reaction is efficient up to the SCRF level (i.e., the energy level at which the continuum model affects the solute wavefunction/electron density) and the inclusion of second-order and further corrections to the SCRF energy does not improve significantly the precision of the predicted pK_a values. This means that the accuracy to which the continuum solvent model and/or the solvation radii are parametrized limits the accuracy of the aqueous isodesmic reaction. Actually, the accuracy of the results provided here is in agreement with the errors reported in previous works where thermodynamic cycles are used for pK_a calculations on similar sets of molecules [[16,](#page-11-0) [18,](#page-11-0) [48](#page-12-0)]. This fact possibly points out that both procedures are equivalent since they are limited by the continuum solvent models in a similar extent.

A closer examination of the results show that for pyridines, the largest errors are found in those species with substituents capable of electron delocalization from or to the aromatic ring (i.e., $-F$, $-CN$, $-OCH_3$, and $-NO_2$). However, errors are reduced to less than 1 pK_a unit for $-F$ and $-OCH₃$ moieties when placed in position 3 or 4 (P9, P15, and P16). Interestingly, pyridines substituted at position 2 present larger errors than their 3 or 4 counterparts for all the considered substituents placed in different positions $(-CH₃, -Cl, -F, -CN, and -OCH₃)$ (Table [1](#page-6-0)). This is in agreement with previous calculations where the solvation sphere of pyridine nitrogen was found to be embedded by the spheres of the neighbor atoms making difficult the correct evaluation of the electrostatic effects by the continuum solvent on the nitrogen portion of the cavity [[18\]](#page-11-0). In fact, the results of Table [1](#page-6-0) for pyridines substituted at position 2 reinforce the previous hypothesis as long as the largest errors are found for electronegative or hardly polarizable substituents, which may present abrupt changes of the electric field in the local region of the pyridine nitrogen, especially in pyridinium cations.

On the other hand, the calculated pK_a values for pyridines with electronegative substituents are underestimated (i.e., pyridinium acidity is overestimated), which is probably due to the lack of stabilization of the protonated nitrogen by the pure continuum model in the acid species. For carbon acids, the trend indicates that acidity is typically overestimated for anionic species (C2 and C9) and underestimated for cationic species (C4, C5, and C10), while for the neutral ones (including zwitterions) both cases are found. These results indicate that the stability ionic species is not properly considered by the continuum model. Considering that the most important interaction between ionic groups and the solvent is the electrostatic one, the immediate conclusion is that errors are mainly due to errors in energies of such interactions, which is again pointing out that the errors are strongly dependent on the solute– continuum electrostatic interaction.

The explicit treatment of water molecules together with the continuum solvent model has been demonstrated to improve the pK_a predictions with thermodynamic cycles by including the real quantum hydrogen bond interactions and by breaking the artificial boundary delimited by the dielectric continuum and therefore reducing the influence of the solute–continuum electrostatic interaction [[16,](#page-11-0) [18,](#page-11-0) [19](#page-11-0), [25,](#page-11-0) [42](#page-12-0), [56–59](#page-12-0)]. Since the artificial interaction between the dielectric continuum and the solute also limits the performance of the aqueous isodesmic reaction, a similar behavior is expected when explicit water molecules are included. According to this, a water molecule was added to both pyridine and pyridinium molecules acting as hydrogen bond donor/acceptor, respectively. In the case of carbon acids, all the acidic carbons are placed in α -position respect to a carbonyl group. So, a single water molecule was placed (in both acids and conjugate bases) donating a hydrogen bond to the carbonyl oxygen to describe the enolate resonance structures according to Ho and Coote's criterion [[16\]](#page-11-0).

The calculated pK_a values of the pyridine and carbon acid species in the presence of an explicit water molecule are shown in Tables [3](#page-9-0) and [4,](#page-9-0) respectively. As shown, the presence of water molecules improves the mean absolute deviations by 0.20–0.42 pK_a units for the pyridine species. For carbon acids, the MAD values are improved by 0.3 pK_a units in the case of B3LYP calculations, whereas for HF and CBS methods the results are not improved or slightly worsened. As deduced by comparison of the pK_a values from Tables [1](#page-6-0) and [3](#page-9-0), the largest improvement takes place in those pyridines that present an electronegative substituent (P6–P17), in particular if such group is placed in position 2 (P6, P8, P11, and P14), which points out that the reason of the improvement is a better description of the electrostatic continuum–solute interactions in agreement with previous pK_a calculations that used thermodynamic cycles [[18\]](#page-11-0). However, it should be noted that for bare pyridines, the aqueous isodesmic reaction provides better results than the thermodynamic cycles [[18\]](#page-11-0).

An analogous comparison of the values reported in Tables [2](#page-6-0) and [4](#page-9-0) indicates that the presence of water molecules do not improve systematically the pK_a predictions for carbon acids. Actually, it can be seen that all the pK_a values are increased in a similar extent worsening or improving those values that either are or are not well predicted for the bare carbon acid species independently of their global charge. A closer inspection reveals that the free energy of deprotonation of each carbon acid increases approximately by $1-2$ kcal mol⁻¹, whereas the free energy of deprotonation of the acid reference increases by 4 kcal mol^{-1} producing such shifting effect in all pK_a values.

In the case of the pyridine species, where the addition of explicit solvent has clear effects (Tables [1](#page-6-0) and [3](#page-9-0)), comparison of the results provided by all HF, B3LYP, and CBS methods points out that to improve the pK_a predictions when explicit waters are present, electron correlation effects should be taken into account to treat hydrogen bonding interactions correctly. On the other hand, CBS-QB3 and CBS-4B3 calculations provide similar pK_a values than the CBS-4B3* and B3LYP ones (which include diffuse functions for the geometry optimizations) for most of the microsolvated species (pyridines and carbon acids in Tables [3](#page-9-0) and [4](#page-9-0)), which points out that the rigorous treatment of short-range hydrogen bonding interactions might not be the unique reason for the improvement in pK_a predictions.

In order to understand the relationship between the presence of explicit water molecules and the improvement in pK_a calculations, the electrostatic and non-electrostatic contributions of CBS-4B3* free energies in solution were analyzed. The electrostatic effects of the continuum model at SCRF level reach $8.66/62.13$ kcal mol⁻¹ (averages calculated from absolute values) for the bare pyridines/ pyridinium cations, respectively, in the dielectric medium. However, what determines the continuum decisive contribution to pK_a values in the aqueous isodesmic reaction is the difference in deprotonation energies between the acid and the reference as in [\(7](#page-2-0)).

For the bare pyridines, the average differences in the electrostatic contributions between each acid/base couple $\{\Delta G_{\text{el}} = G_{\text{el}}(A) - G_{\text{el}}(AH^+)\}\$ is 53.5 kcal mol⁻¹, while the average contribution respect to the reference species {i.e., $\Delta\Delta G_{\text{el}} = \Delta G_{\text{el}}(A/AH^{+}) - \Delta G_{\text{el}}(\text{ref/refH}^{+})$ } is 4.22 kcal $mol^{-1} \pm 2.90$ kcal mol⁻¹ (MAD \pm SD). The presence of a single explicit water molecule interacting with the pyridine nitrogen produces significant changes in the electrostatic contributions, reducing ΔG_{el} by almost 20% to an

	Exptl.	HF(5)	B3LYP(5)	$CBS-4B3*$ (5)	CBS-4B3 (5)	$CBS-QB3(5)$
PRef	5.23 [43]					
P ₁	5.97 [44]	6.55(0.58)	6.94(0.97)	6.66(0.69)	6.97(1.00)	6.90(0.93)
P ₂	5.68 [44]	5.75(0.07)	6.24(0.56)	6.01(0.33)	6.04(0.36)	6.04(0.36)
P ₃	6.02 [44]	6.16(0.14)	6.14(0.12)	5.93(0.09)	5.79(0.23)	5.71(0.31)
P4	6.57 [43]	7.39(0.82)	7.62(1.05)	7.27(0.70)	7.32(0.75)	7.25(0.68)
P ₅	6.99 [43]	7.41(0.42)	7.75(0.76)	7.39(0.40)	8.15(1.16)	8.00(1.01)
P6	0.49 [43]	$-0.95(1.44)$	0.58(0.09)	0.56(0.07)	0.55(0.06)	0.62(0.13)
P7	2.81 [43]	2.42(0.39)	2.99(0.18)	2.83(0.02)	3.10(0.29)	3.16(0.35)
P8	-0.44 [43]	$-1.71(1.27)$	$-0.75(0.31)$	$-0.91(0.47)$	$-0.53(0.09)$	$-0.50(0.06)$
P ₉	2.97 [44]	2.68(0.29)	3.00(0.03)	3.12(0.15)	3.38(0.41)	3.42(0.45)
P ₁₀	2.84 [43]	2.65(0.19)	3.03(0.19)	2.70(0.14)	3.09(0.25)	3.17(0.33)
P11	-0.26 [45]	$-3.72(3.46)$	$-1.31(1.05)$	$-1.70(1.44)$	$-1.65(1.39)$	$-1.54(1.28)$
P ₁₂	1.45 [45]	$-0.31(1.76)$	0.41(1.04)	0.26(1.19)	0.69(0.76)	0.74(0.71)
P ₁₃	1.90 [45]	$-0.33(2.23)$	0.75(1.15)	0.59(1.31)	0.85(1.05)	0.91(0.99)
P ₁₄	3.28 [44]	2.46(0.82)	3.58(0.30)	3.11(0.17)	3.17(0.11)	3.08(0.20)
P ₁₅	4.88 [44]	5.05(0.17)	5.75(0.87)	5.34(0.46)	5.80 (0.92)	5.88(1.00)
P ₁₆	6.62 [44]	7.60 (0.98)	7.65(1.03)	7.42(0.80)	7.67(1.05)	7.51(0.89)
P ₁₇	0.81 [44]	$-1.41(2.22)$	$-0.54(1.35)$	$-0.39(1.20)$	0.07(0.74)	0.12(0.69)
P ₁₈	3.40 $[44]$	2.71(0.69)	3.03(0.37)	2.79(0.61)	3.50(0.10)	3.51(0.11)
P ₁₉	3.18 [44]	3.07(0.11)	2.64(0.54)	3.05(0.13)	3.37(0.19)	3.35(0.17)
MAD		0.95	0.63	0.55	0.57	0.56
SD		0.92	0.43	0.46	0.43	0.38
ADmax		3.46	1.35	1.44	1.39	1.28

Table 3 Calculated pK_a values for the single water microsolvated substituted pyridines using the aqueous isodesmic reaction according to [\(5](#page-2-0))

The absolute *errors* in pK_a units are given in *brackets*

The absolute *errors* in pK_a units are given in *brackets*

average value of 43.57 kcal mol⁻¹. In addition, $\Delta\Delta G_{el}$ falls to 2.92 \pm 2.27 kcal mol⁻¹.

Some fraction of such a decrease in both ΔG_{el} and $\Delta \Delta G_{el}$ is due to an equalization of the electrostatic solute–continuum interaction between the involved species in the proton exchange reaction (Scheme [3](#page-2-0)) by the presence of water molecules. To get some insight into that, the individual electrostatic interaction contributions $[G_{el}(N_{pyr})]$ of the cavity region around each atom can be recovered. Accordingly, the average difference between the electrostatic interactions of the bare pyridine and pyridinium nitrogen atoms $[\Delta G_{el}(N_{pyr})]$ is 14.28 \pm 3.07 kcal mol⁻¹, and the average difference of $\Delta G_{el}(N_{pyr})$ with respect to the pyridine reference is $\Delta \Delta G_{el}(N_{pyr}) = 2.87 \pm 1.66$ kcal mol⁻¹.

In contrast, when water molecules are included, the electrostatic interactions between the dielectric continuum and the nitrogen atoms are nearly cancelled $[\Delta G_{el}(N_{pvr}] =$ 0.57 kcal mol⁻¹ \pm 0.28 kcal mol⁻¹ and $\Delta\Delta G_{el}(N_{\text{pvr}})$ = 0.31 kcal mol⁻¹ \pm 0.25 kcal mol⁻¹). As indicated in a previous work, pyridine–water hydrogen bonding interactions polarize the water molecule, so that the continuum model calculates the electrostatic interaction with the water molecule in a much larger surface reducing large part of the errors of bare species [[18\]](#page-11-0).

In fact, the water–continuum electrostatic interactions contributions to the total ΔG_{el} and $\Delta \Delta G_{el}$ are 12.21 kcal $mol^{-1} \pm 1.37$ kcal mol⁻¹ and 1.41 kcal mol⁻¹ ± 1.03 kcal mol⁻¹, respectively (comparable to that of bare nitrogen atoms). Nevertheless, it should be noted that the average surface of the solvation cavity over bare nitrogen is 9.0 \AA^2 , while the average surface over water molecules is considerably larger (34.3 \AA^2).

Scheme 4 Successive ionization reactions considered for the pK_a prediction of amino acids

Eventually, the non-electrostatic contributions to the free energies in solution can be also taken into account. In the PCM models (including CPCM), cavitation, dispersion, and repulsion energies are calculated classically and are dependent on the volume of the solute cavity [\[1](#page-11-0), [5,](#page-11-0) [35](#page-12-0)]. Therefore, the magnitude of such energies will remain approximately constant if acids and their conjugate bases are geometrically similar and present comparable cavity sizes.

According to the UAHF and UAKS model cavities employed in this work, the solvation spheres are placed only over non-hydrogen atoms. However, the charge and the number of hydrogens linked to a heavy atom do modify (slightly) the final radius of the sphere [[30\]](#page-11-0). Consequently, small variations of the volume cavities are expected due to the deprotonation reaction.

For the bare pyridines, the absolute differences in the non-electrostatic energies between bases and acids $\{\Delta G_{\text{nes}} = G_{\text{nes}}(A) - G_{\text{nes}}(AH^+) \}$ are 0.08 \pm 0.06 kcal mol⁻¹ (MAD \pm SD), whereas the average (MAD \pm SD) difference respect to the pyridine reference is $\Delta\Delta G_{\text{nes}} =$ 0.19 ± 0.09 kcal mol⁻¹. Larger differences may be expected when water molecules are present since they can interact as proton donor or acceptor depending on the protonation state of the pyridine molecules. However, the orientation of the hydrogen atoms of water molecules does not change the cavity volume. Eventually, the obtained ΔG_{nes} and $\Delta \Delta G_{\text{nes}}$ average differences for the water–pyridine clusters are (MAD \pm SD) 0.46 \pm 0.15 kcal mol⁻¹ and 0.28 ± 0.14 kcal mol⁻¹, respectively.

As a suggestion of a referee, we employed the aqueous isodesmic reaction schema to calculate the pK_a values of problematic species for thermodynamic cycles such as amino acid species, which deprotonation reactions in aqueous solution involve gas-phase unstable zwitterionic species. Hydrophobic side-chain amino acids (i.e., glycine, alanine, valine, leucine, and methionine) were considered in order to unambiguously study the carboxylic acid and amine ionizations (Scheme 4) and to avoid interferences from any other acid or base group. As depicted in

Table 5 Calculated pK_a values for the first ionization reaction in aqueous solution of amino acid species

	Exptl.	HF(5)	B3LYP(5)	$CBS-4B3(5)$	$CBS-4B3*(5)$	$CBS-QB3(5)$
Glycine (Ref)	2.34 [43]					
Alanine	2.33 [43]	1.70(0.63)	1.96(0.37)	1.79(0.54)	1.76(0.57)	1.78(0.55)
Valine	2.27 [43]	2.19(0.08)	2.47(0.20)	1.31(0.96)	2.06(0.21)	2.05(0.22)
Leucine	2.32 [43]	2.37(0.05)	2.26(0.06)	2.22(0.10)	1.94(0.38)	1.95(0.37)
Methionine	2.16 [43]	1.82(0.34)	1.91(0.25)	1.60(0.56)	1.42(0.74)	1.39(0.77)
MAD		0.27	0.22	0.54	0.47	0.48
SD.		0.27	0.13	0.35	0.23	0.24
ADmax		0.63	0.37	0.96	0.74	0.77

	Exptl.	HF(5)	B3LYP(5)	$CBS-4B3*(5)$	$CBS-4B3(5)$	$CBS-QB3(5)$
Glycine (Ref)	9.58 [43]					
Alanine	9.71 [43]	9.95(0.24)	9.68(0.03)	9.64(0.07)	9.43(0.28)	9.35(0.36)
Valine	9.52 [43]	9.01(0.51)	9.07(0.45)	8.99(0.53)	9.46(0.06)	9.38(0.14)
Leucine	9.58 [43]	9.91(0.33)	9.98(0.40)	9.61(0.03)	10.15(0.57)	10.05(0.47)
Methionine	9.08 [43]	9.44(0.36)	9.30(0.22)	9.19(0.11)	9.71(0.63)	9.62(0.54)
MAD		0.36	0.27	0.19	0.38	0.38
SD		0.11	0.19	0.23	0.26	0.18
ADmax		0.51	0.45	0.53	0.63	0.54

Table 6 Calculated p K_a values for the second ionization reaction in aqueous solution of amino acid species

Scheme [4,](#page-10-0) no tautomeric equilibrium was considered for the intermediate protonation state since the majority of species present the zwitterionic state in aqueous solution.

The first and second deprotonation reactions of glycine were used in combination with their experimental pK_a values (according to the Scheme [3](#page-2-0)) as reference reactions for the prediction of pK_{aI} and pK_{aII} values, respectively, of the other considered amino acid species. It has to be noted that no explicit water molecules were used in any of these calculations. As shown in Tables [5](#page-10-0) and 6, the calculated pK_a values for both ionization processes are in excellent agreement with the experimental values for all species independently of the quantum chemistry method. Considering the first deprotonation reaction (corresponding to the carboxylic acid functionalities), the best results are provided by B3LYP and HF methods with mean absolute deviation (MAD) values below 0.3 pK_a units, while the deviations (MAD) corresponding to CBS methods are slightly larger (i.e., below 0.54 pK_a units). Concerning the second ionization process (corresponding to the amine groups), CBS-4B3* and B3LYP yield the best prediction respect to the experimental values despite all the methods provide similar results with MAD values ranging 0.19–0.38 pK_a units. These results show the potential applicability of the aqueous isodesmic reaction for those cases which gasphase calculations are problematic for the thermodynamic cycles.

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